

# Towards the assessment and risk classification of existing building typologies using storey-loss functions

*Al Mouayed Bellah Nafeh, Gerard J. O'Reilly*

Scuola Universitaria Superiore IUSS, Pavia, Italy



IUSS

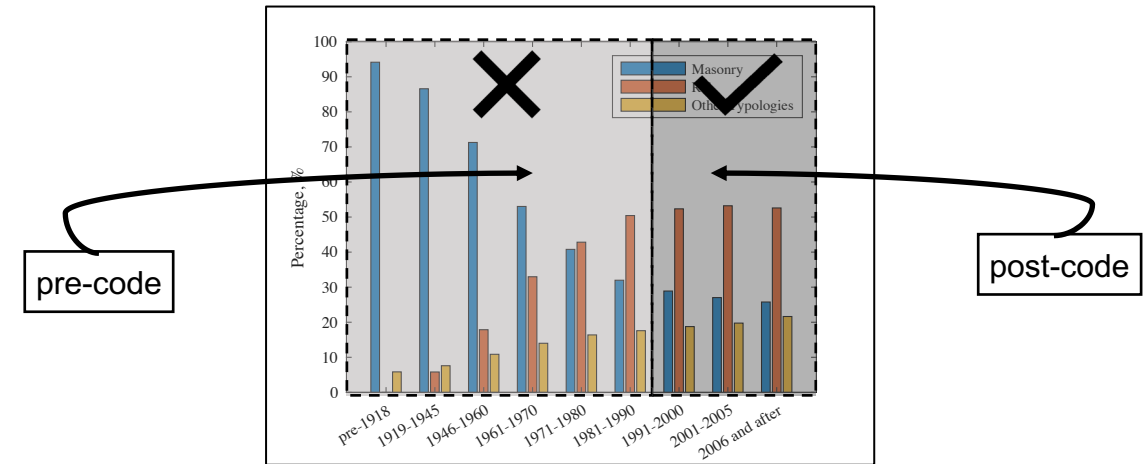
Scuola Universitaria Superiore Pavia

ROSE

CENTRE FOR TRAINING AND  
RESEARCH ON REDUCTION  
OF SEISMIC RISK

# Introduction

- National housing census infer that the majority of the existing built-environment was constructed prior to the introduction of modern seismic design provisions (e.g., NTC2018, EC8)
- Past earthquake reconnaissance observations highlighted the vulnerability of the existing pre-code regional building stock to ground-shaking events



Amatrice, Italy 2016  
(Ref: Gallagher Re)



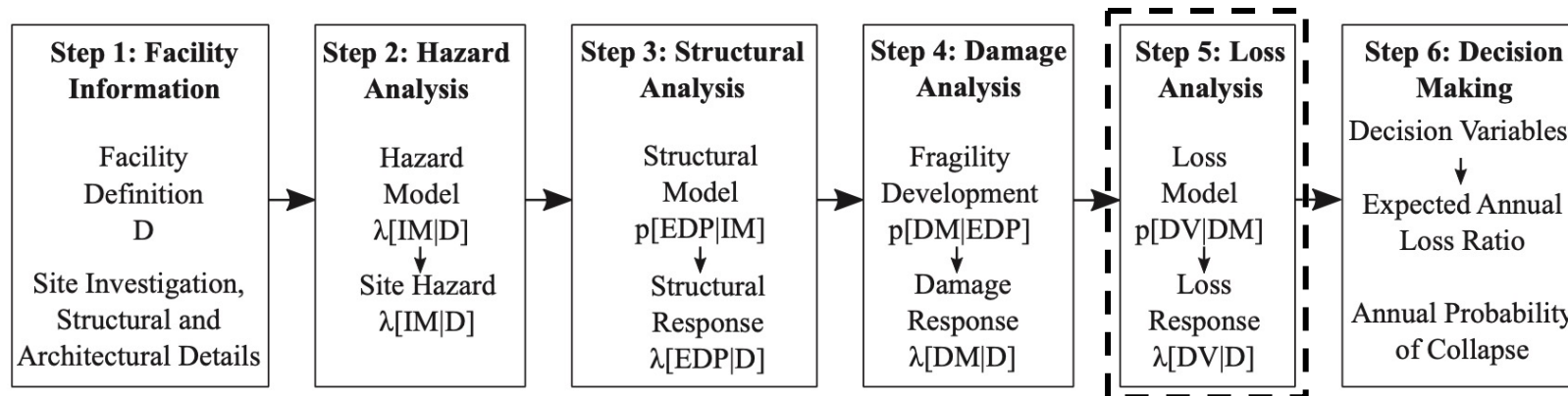
Emilia-Romagna, Italy 2012  
(Ref: NY Times)



Umbria-Marche, Italy 1997  
(Ref: Corriere della Sera)

# Introduction

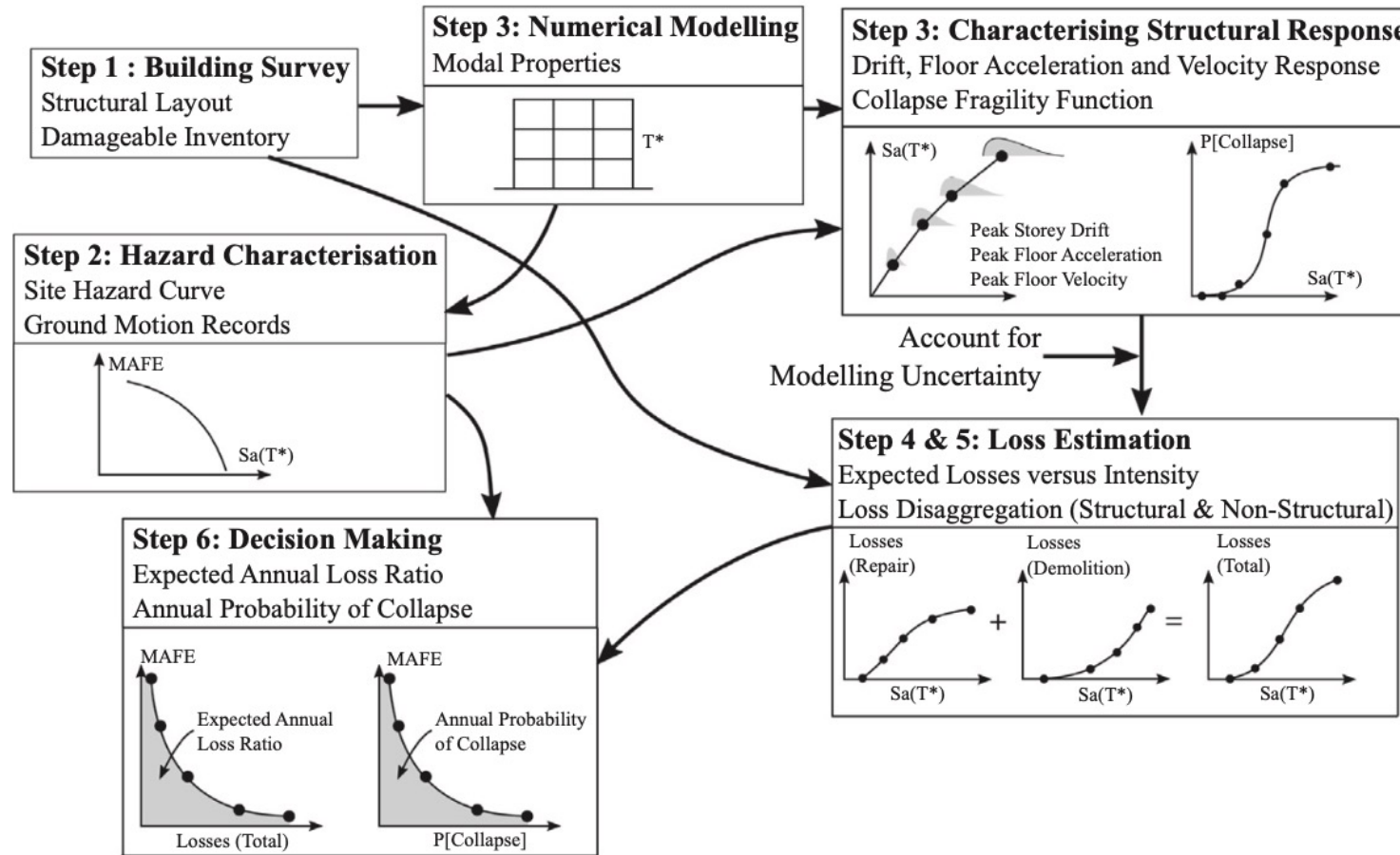
- Urgent need for risk classification methodologies for informed decision-making to carry out building tagging and prioritization of retrofiting actions
- Performance-based earthquake engineering (PBE) requires the accurate quantification of four main components: hazard, vulnerability, risk and loss
- Loss assessment is becoming a more common instrument in the seismic performance assessment of existing structures
- Different approaches exist with varying degrees of complexity



# Existing loss assessment methods

Methodology	Requirements	Complexity Level
<p>Component-Based Approach (FEMA P-58)</p>	<ul style="list-style-type: none"> <li>• Detailed numerical model</li> <li>• Definition of damageable inventory (i.e. quantities, costs, fragilities)</li> <li>• Probabilistic seismic hazard assessment and hazard-consistent record selection</li> <li>• Nonlinear time-history analysis (i.e. MSA)</li> <li>• Quantification of peak seismic demands (i.e. PSD, PFA) and residual deformations <math>\forall</math> IML</li> <li>• Quantification of collapse fragility</li> <li>• Analysis via PACT software</li> </ul>	<p>• High</p>
<p>Italian Risk Classification Guidelines, Sismabonus (Cosenza <i>et al.</i>)</p>	<ul style="list-style-type: none"> <li>• Detailed numerical model</li> <li>• Static pushover analysis</li> <li>• Structural performance characterization through the "life-safety index" obtained via code-based methods (e.g. N2 method)</li> <li>• Quantification of seismic losses through EAL obtained via prescribed set of damage-to-loss ratios</li> </ul>	<p>• Moderate</p>

# Component-based approach (FEMA P-58)

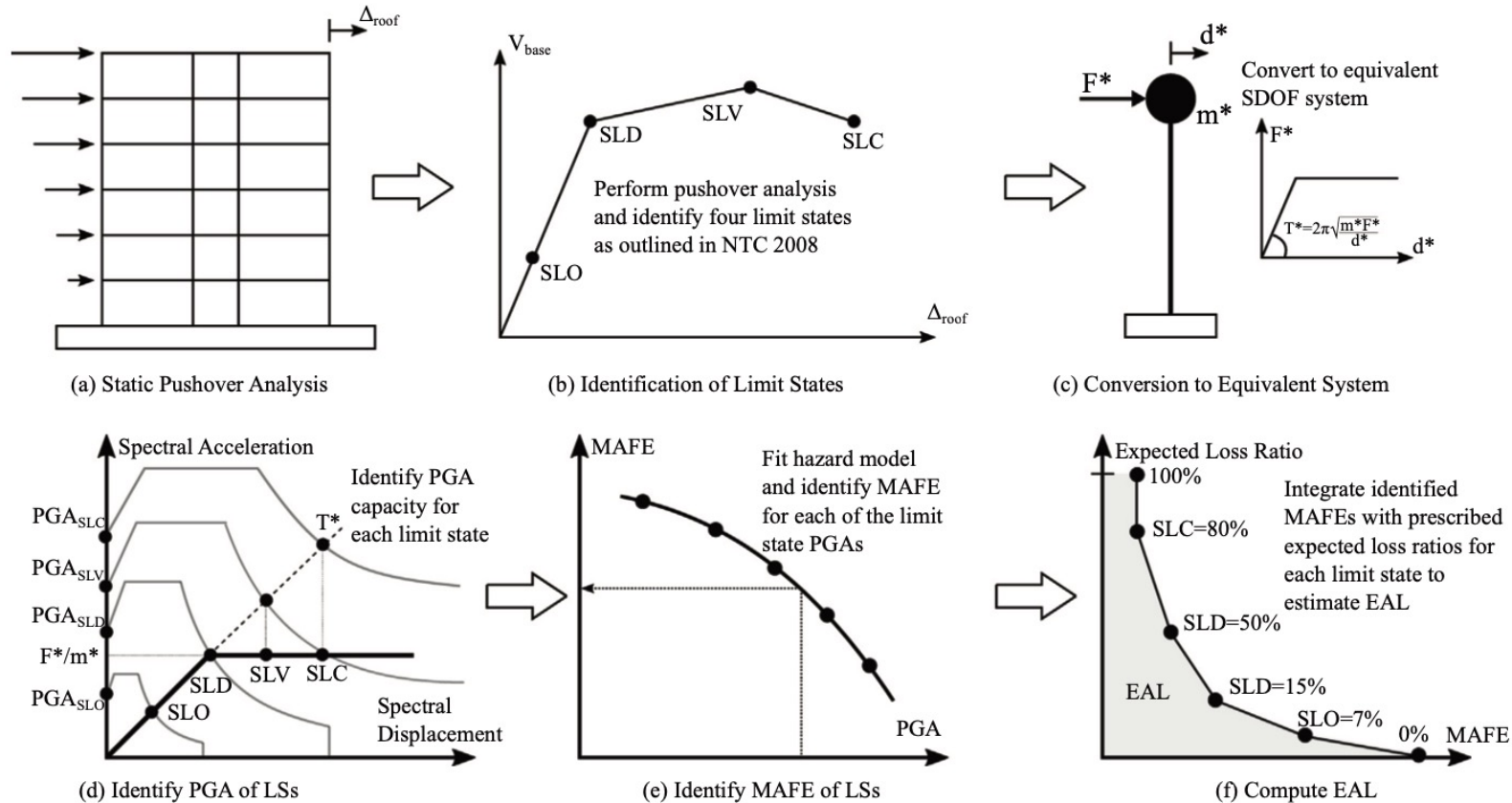




# Existing loss assessment methods

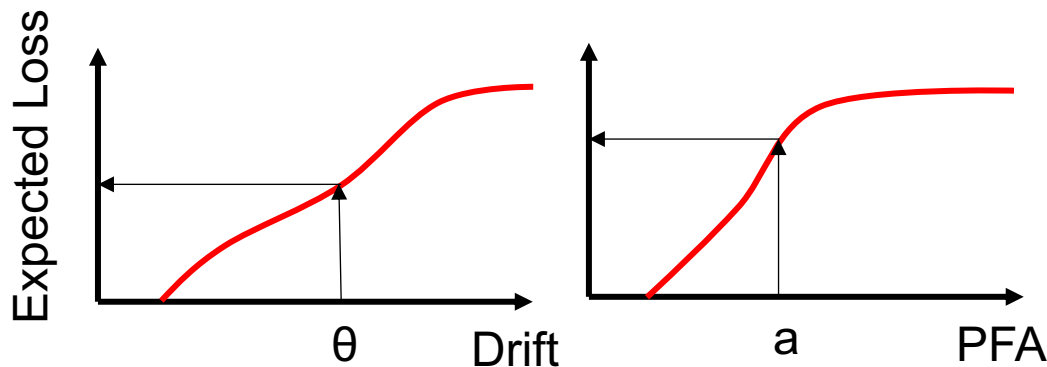
Methodology	Requirements	Complexity Level
Component-Based Approach (FEMA P-58)	<ul style="list-style-type: none"> <li>• Detailed numerical model</li> <li>• Definition of damageable inventory (i.e. quantities, costs, fragilities)</li> <li>• Probabilistic seismic hazard assessment and hazard-consistent record selection</li> <li>• Nonlinear time-history analysis (i.e. MSA)</li> <li>• Quantification of peak seismic demands (i.e. PSD, PFA) and residual deformations <math>\forall</math> IML</li> <li>• Quantification of collapse fragility</li> <li>• Analysis via PACT software</li> </ul>	<ul style="list-style-type: none"> <li>• High</li> </ul>
Italian Risk Classification Guidelines, Sismabonus (Cosenza <i>et al.</i> )	<ul style="list-style-type: none"> <li>• Detailed numerical model</li> <li>• Static pushover analysis</li> <li>• Structural performance characterization through the "life-safety index" obtained via code-based methods (e.g. N2 method)</li> <li>• Quantification of seismic losses through EAL obtained via <b>prescribed set of damage-to-loss ratios at each limit-state</b></li> </ul>	<ul style="list-style-type: none"> <li>• Moderate</li> </ul>

# Italian Risk Classification Guidelines (*Sismabonus*)

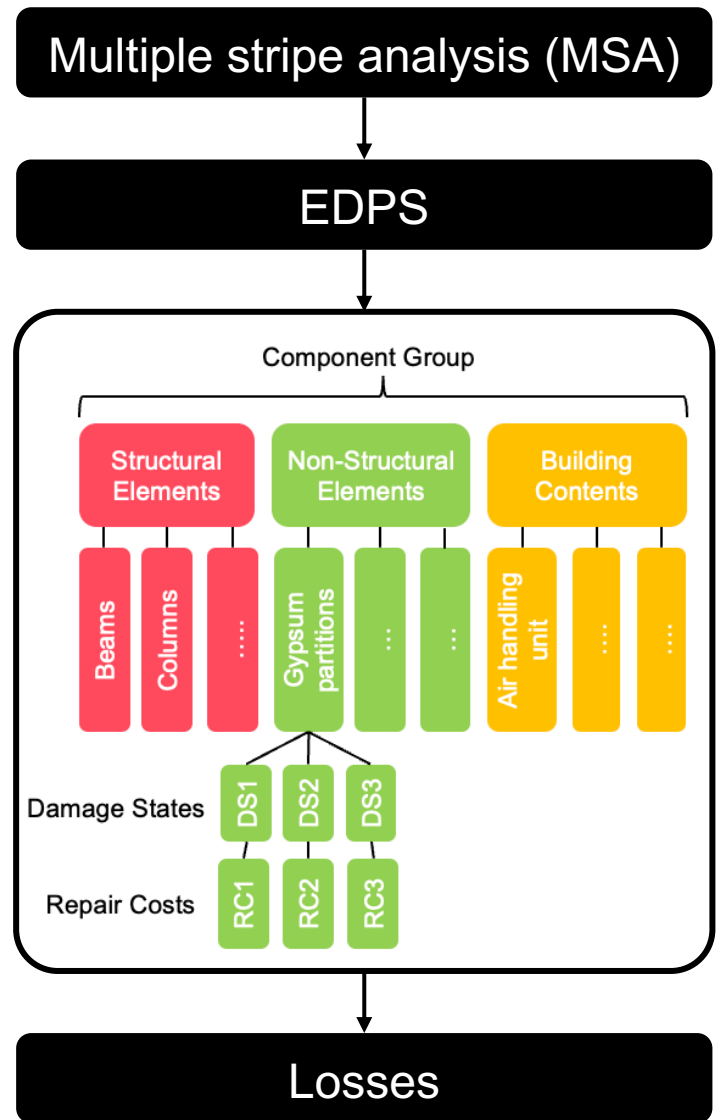


# Storey loss functions

- Despite the recent research developments, practitioners must be provided with tools to conduct building-specific loss assessment, **simply** and **accurately**
- The damageable inventory, fragility functions and repair cost functions are known for a given building typology
- Ramirez and Miranda (2009) proposed condensing these steps down to a few functions with **storey loss functions** that link EDP directly to the expected economic loss



STOREY LOSS FUNCTION



Ramirez, C. M., & Miranda, E. (2009). Building Specific Loss Estimation Methods & Tools for Simplified Performance Based Earthquake Engineering. Blume Report No. 171.

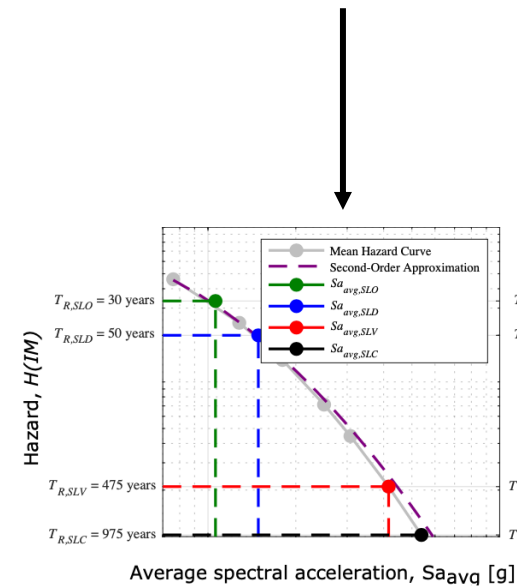
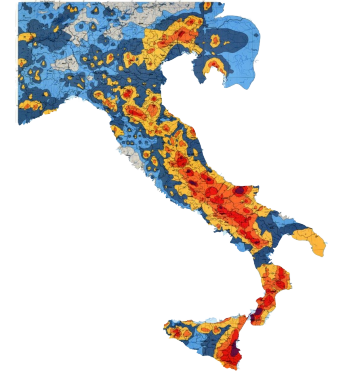
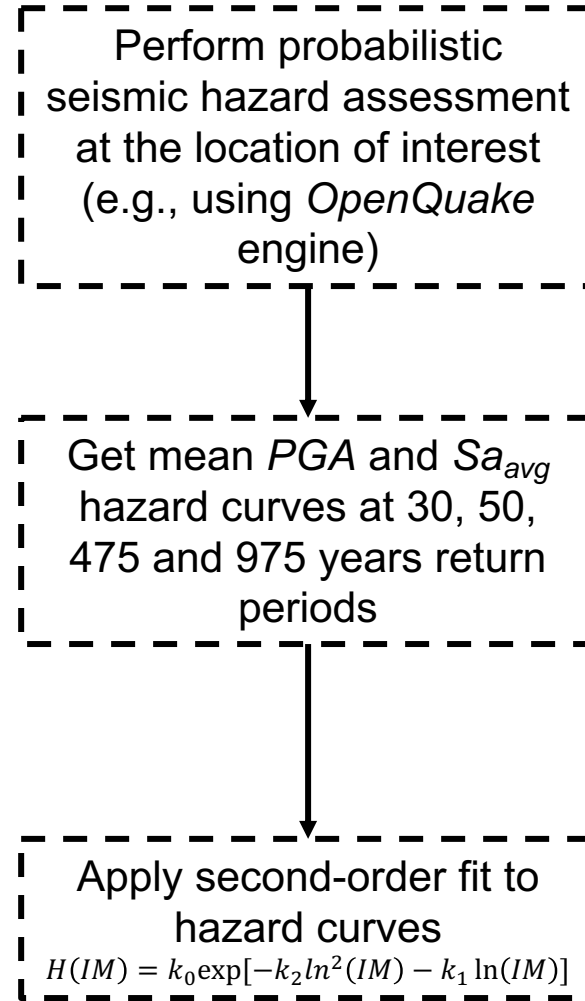


# SLF-Based Approach: PB-Loss

- Pushover-based approach to estimate economic losses intended for practical applications
- PB-Loss is implemented within a previously defined framework for simplified risk estimation (PB-Risk) via seismic hazard and vulnerability approximations
- PB-Loss integrates the recent toolbox developed by Shahnazaryan *et al.* to create user-specific SLFs

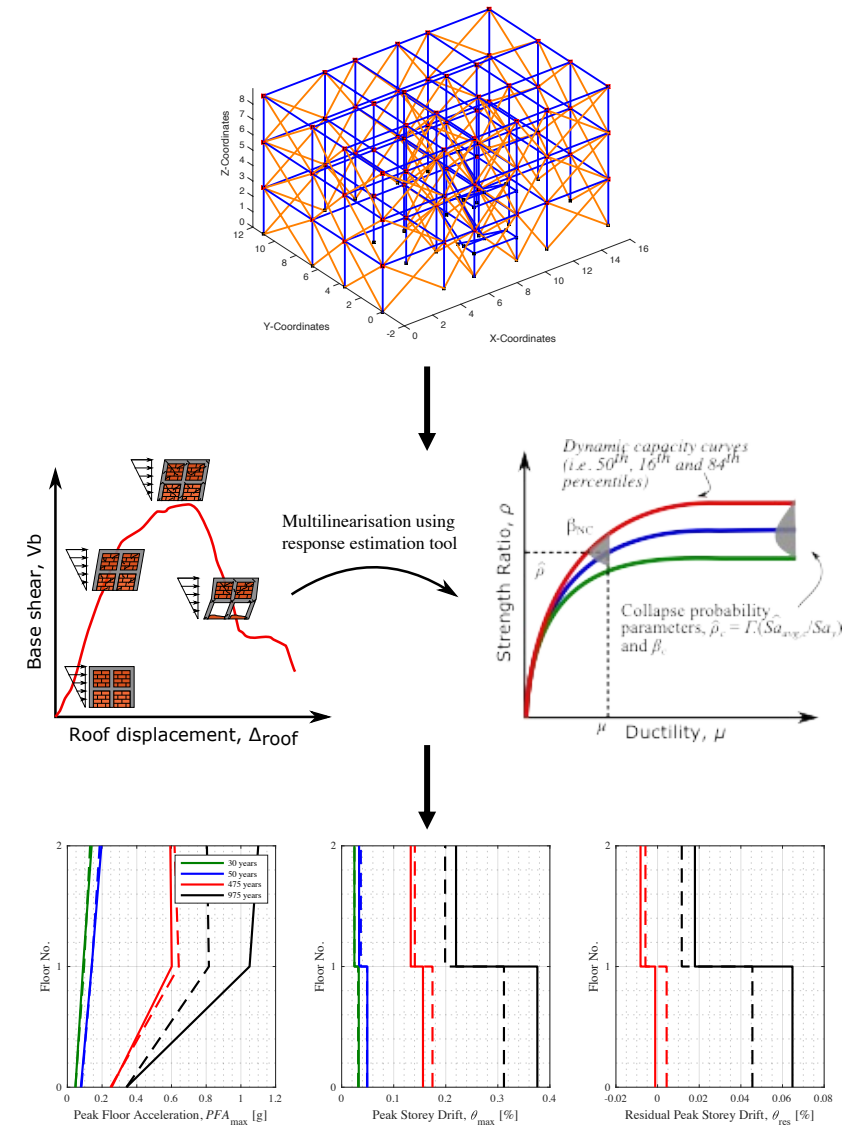
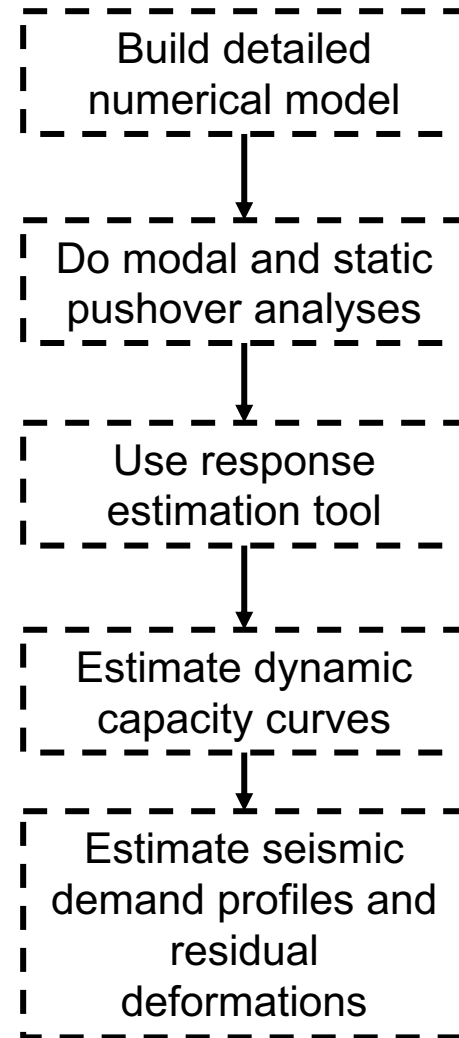
# SLF-Based Approach: PB-Loss

- PB-Loss entails:
  1. Characterisation of seismic hazard through PSHA and robust mathematical fitting (i.e. second-order polynomial fit)



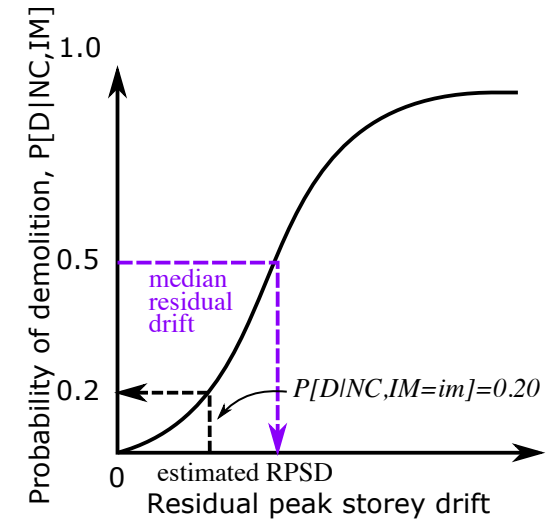
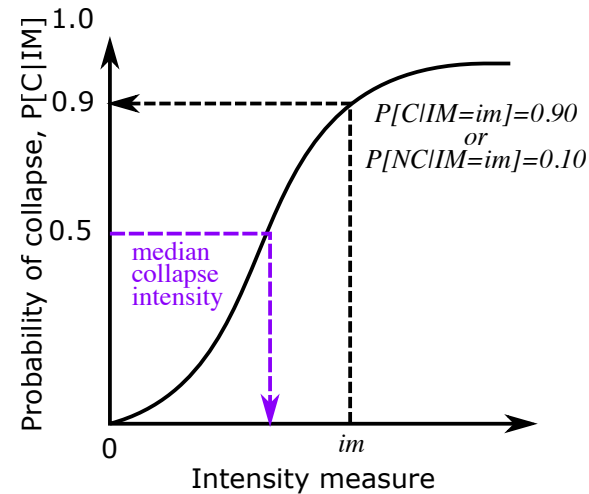
# SLF-Based Approach: PB-Loss

- PB-Loss entails:
  1. Characterisation of seismic hazard through PSHA and robust mathematical fitting (i.e. second-order polynomial fit)
  2. Characterisation of seismic vulnerability through a response evaluation tool that empirically derives the seismic capacity of a given structure through a simple pushover analysis and  $\rho$ - $\mu$ - $T$  relationships



# SLF-Based Approach: PB-Loss

- PB-Loss entails:
  1. Characterisation of seismic hazard through PSHA and robust mathematical fitting (i.e. second-order polynomial fit)
  2. Characterisation of seismic vulnerability through a response evaluation tool that empirically derives the seismic capacity of a given structure through a simple pushover analysis and  $\rho$ - $\mu$ - $T$  relationships
  3. Characterisation of collapse and demolition probabilities



# SLF-Based Approach: PB-Loss

- PB-Loss entails:
  1. Characterisation of seismic hazard through PSHA and robust mathematical fitting (i.e. second-order polynomial fit)
  2. Characterisation of seismic vulnerability through a response evaluation tool that empirically derives the seismic capacity of a given structure through a simple pushover analysis and  $\rho$ - $\mu$ - $T$  relationships
  3. Characterisation of collapse and demolition probabilities
  4. Calculate collapse risk using the SAC/FEMA IM-based approach

$$\lambda_C = \sqrt{p} k_0^{1-p} [H(\widehat{S}a_{avg,C})]^p \exp \left[ \frac{1}{2} p k_1^2 \beta_C^2 \right]$$

$$p = \frac{1}{1 + 2k_2 \beta_C^2}$$

where  $k_0$ ,  $k_1$ ,  $k_2$  are the coefficients of the second-order hazard fit;  $H(\widehat{S}a_{avg,C})$  and  $\beta_C$  are the mean annual rate of exceeding the median collapse intensity and the uncertainty associated with the collapse fragility, respectively;

# SLF-Based Approach: PB-Loss

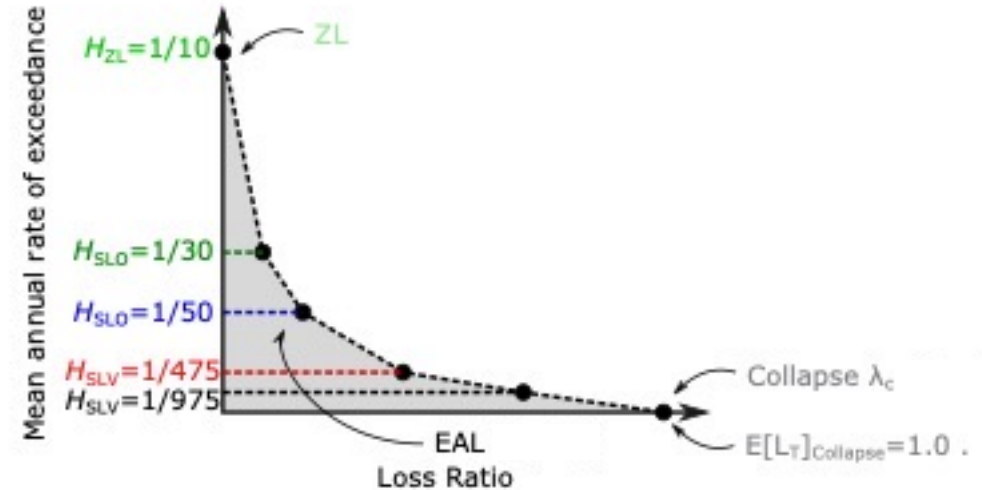
- PB-Loss entails:
  1. Characterisation of seismic hazard through PSHA and robust mathematical fitting (i.e. second-order polynomial fit)
  2. Characterisation of seismic vulnerability through a response evaluation tool that empirically derives the seismic capacity of a given structure through a simple pushover analysis and  $\rho$ - $\mu$ - $T$  relationships
  3. Characterisation of collapse and demolition probabilities
  4. Calculate collapse risk using the SAC/FEMA IM-based approach
  5. Estimation of direct economic losses accounting for repair, demolition and total replacement (i.e., collapse)

$$\begin{aligned}
 E[L_T|IM] = & \underbrace{E[L_T|NC \cap R, IM]}_{\text{repair costs from SLFs}} \underbrace{(1 - P[D|NC, IM])}_{\text{probability of no-demolition}} \underbrace{(1 - P[C|IM])}_{\text{probability of no-collapse}} \\
 & + \underbrace{E[L_T|NC \cap D]}_{\text{demolition costs}} \underbrace{P[D|NC, IM]}_{\text{probability of demolition}} \underbrace{(1 - P[C|IM])}_{\text{probability of no-collapse}} \\
 & + \underbrace{E[L_T|C]}_{\text{total replacement cost}} \underbrace{P[C|IM]}_{\text{probability of collapse}}
 \end{aligned}$$



# SLF-Based Approach: PB-Loss

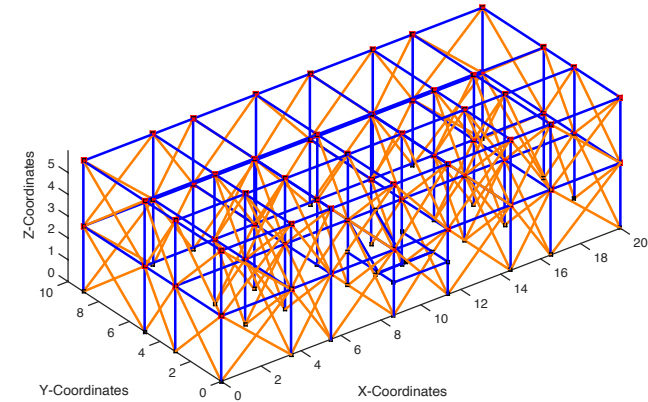
- PB-Loss entails:
  1. Characterisation of seismic hazard through PSHA and robust mathematical fitting (i.e. second-order polynomial fit)
  2. Characterisation of seismic vulnerability through a response evaluation tool that empirically derives the seismic capacity of a given structure through a simple pushover analysis and  $\rho$ - $\mu$ - $T$  relationships
  3. Characterisation of collapse and demolition probabilities
  4. Calculate collapse risk using the SAC/FEMA IM-based approach
  5. Estimation of direct economic losses accounting for repair, demolition and total replacement (i.e., collapse)
  6. Build the loss curve and calculate EAL



$$EAL = \int E[L_T | IM = im] \left| \frac{dH(IM > im)}{dim} \right| dim$$

# Case Study Application

- 70 Archetype non-ductile infilled reinforced concrete buildings (2-6 stories) representative of the southern Mediterranean construction were analysed
- Different plan layouts
- Distinct temporal design considerations

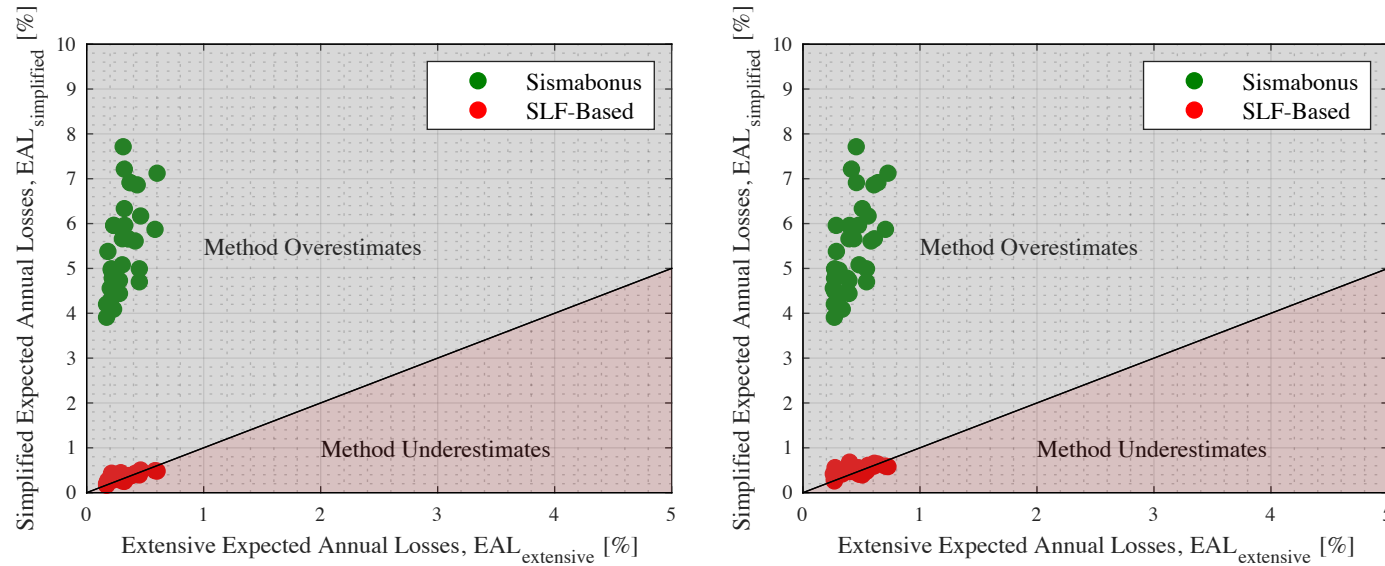


Archetype Design Consideration	Construction Era	Design Methodology	Design Considerations
Gravity-Load Design (GLD)	Pre-1970s	Gravity Loads + Allowable Stress Method (Royal Decree 2229/39)	<ul style="list-style-type: none"> <li>• Frames spanning in one direction</li> <li>• Smooth rebars with low yield strength (Aq42, <math>\sigma_{all,s} \approx 140</math> MPa)</li> <li>• Concrete with low compressive strength (<math>\sigma_{all,c} \approx 5</math> MPa)</li> <li>• Poor transverse detailing and low shear reinforcement ratios</li> <li>• Inadequate detailing of beam-column joints</li> </ul>
Sub-Standard Design (SSD)	1970s-1980s	Equivalent Lateral Force Method + Allowable Stress Method (L. 1086/71, DM 40/1975, DM 108/1986)	<ul style="list-style-type: none"> <li>• Frames spanning in one (or both) directions</li> <li>• Deformed rebars with moderate yield strengths (FeB44k, <math>\sigma_{all,s} \approx 260</math> MPa)</li> <li>• Concrete with moderate compressive strength (<math>\sigma_{all,c} \approx 7.5</math> MPa)</li> <li>• No consideration for ductile detailing</li> </ul>

# Comparison

Method	Procedure
Component-Based Approach (FEMA P-58)	<ol style="list-style-type: none"> <li>1. Detailed numerical modelling;</li> <li>2. Definition of building damageable inventory;</li> <li>3. PSHA using <math>S_{a_{avg}}</math> at L'Aquila, Italy;</li> <li>4. Hazard-consistent ground-motion selection using EzGM toolbox (Ozsarac <i>et al.</i>);</li> <li>5. Multiple-stripe analysis using 9 intensity measure level corresponding to return periods of 22-4975 years;</li> <li>6. Post-processing of MSA results for the quantification of seismic demands, residual drifts, collapse fragility;</li> <li>7. Loss-based assessment in PACT;</li> <li>8. Calculate the EAL;</li> </ol>
Sismabonus (Italian guidelines)	<ol style="list-style-type: none"> <li>1. Detailed numerical modelling;</li> <li>2. Static pushover analysis;</li> <li>3. N2 method to determine life-safety index (PGAc/PGAd);</li> <li>4. Calculation of mean annual frequency of exceedance (MAFE) using life-safety index;</li> <li>5. Assembling MAFE vs expected loss ratio (i.e. repair costs/ total replacement cost) curve;</li> <li>6. Calculate the EAL;</li> </ol>
PB-Loss	<ol style="list-style-type: none"> <li>1. Detailed numerical modelling;</li> <li>2. Modal and static pushover analysis;</li> <li>3. PSHA using PGA and <math>S_{a_{avg}}</math> at L'Aquila, Italy;</li> <li>4. Estimate the seismic demands and collapse fragility using the response estimation tool;</li> <li>5. Calculate the collapse risk using the SAC/FEMA approach;</li> <li>6. Estimate the repair costs using SLFs;</li> <li>7. Calculate the total repair costs;</li> <li>8. Build and integrate under the loss curve to calculate the EAL;</li> </ol>

# Results



- The comparison was carried out in terms of the EAL evaluated from Sismabonus and PB-Loss plotted against component-based assessment taken as benchmark
- Sismabonus significantly overestimates the EAL for all case study buildings due to the high fixed loss ratios (percentage of the total replacement cost) associated with each prescribed limit-states
- PB-Loss yielded relatively good estimates when compared to the component-based approach due to its adaptability in characterising the economic losses related to structural and non-structural damage

# Conclusions

- A novel pushover-based loss assessment (PB-Loss) was developed to address the shortcomings and incorporate many facets currently overlooked in practical loss assessment
- PB-Loss is a relatively fast and simple method for the estimation of direct economic losses offering a high level of accuracy while significantly reducing the demanding computational effort required by extensive methods such as the component-based approach adopted in FEMA P-58
- PB-Loss integrates state-of-the-art robust approximations and assumptions for the representation of hazard , characterization of seismic vulnerability, estimation of seismic risk and evaluation of direct losses corresponding to repair, demolition and collapse
- A comparative case-study application on a large set of archetype numerical models highlighted the robustness of the generalized SLF-based approach (PB-Loss) for evaluating economic losses when compared to the more rigorous component-based approach
- Existing methodologies implemented within national standards such as Sismabonus in Italy were also comparatively evaluated with respect to PB-Loss. Results showed that Sismabonus consistently overestimated the losses with respect to the component-based approach